

Sector *AK*

**PATENT**  
Attorney Docket No. 202266

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

CARROLL et al

Serial No. 09/452,421

Filed: December 1, 1999



Group Art Unit: 2771

Examiner: Not Assigned

For: **EXTERNAL RESOURCE FILES FOR  
APPLICATION DEVELOPMENT  
AND MANAGEMENT**

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Applicant hereby responds to the Notice To File Missing Parts of Application dated January 11, 2000.

The items checked below are appropriate:

**1. Status of Applicant**

This application is on behalf of ☒ other than a small entity or ☐ a small entity.

The verified statement ☐ is attached or ☐ was filed on .

**2. Fees**

Pursuant to 37 C.F.R. § 1.16(e), the surcharge for filing this Response is for ☒ other than a small entity or ☐ a small entity.

**Fee Due** \$130.00

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**CERTIFICATE OF MAILING**

I hereby certify that this document (along with any documents referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: January 21, 2000

*Jonathan A. Olson*

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**3. Documents Submitted Herewith**

- ☒ Executed Declaration of inventor(s).
- ☐ Verified Statement claiming small entity status.
- ☐ Sequence Listing (and accompanying Preliminary Amendment, Verification, and diskette).
- ☐ Verified English translation of application.
- ☒ Copy of the Notice to File Missing Parts of Application.
- ☒ Other: Return Receipt Postcard

**4. Extension Of Term**

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136 apply.

- ☐ Applicant petitions for a one-month extension of time under 37 C.F.R. § 1.136, the fee for which is \$110.00.
- ☒ Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

**Extension fee due with this request: \$0.00**

**5. Total Fee Due**

The total fee due is:

Surcharge	\$130.00
Extension Fee (if any)	\$0.00

**Total Fee Due: \$0.00**

**6. Fee Payment**

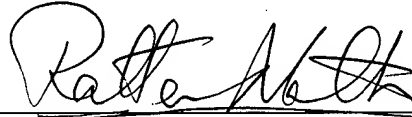
- ☒ Attached is a check in the sum of \$130.00.
- ☐ Charge Account No. 12-1216 the sum of \$ . A duplicate of this transmittal is attached.

In re Appln. of CARROLL et al  
Serial No. 09/452,421

**7. Fee Deficiency**

☒ If any additional fee is required in connection with this communication, charge Account No. 12-1216. A duplicate of this transmittal is attached.

Date: January 21, 2000



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BEST AVAILABLE COPY

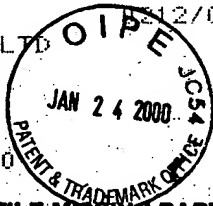


Matthew + Sheri #3  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/452,421 12/01/99 CARROLL M 202266

LEYDIG VOIT & MAYER LTD  
TWO PRUDENTIAL PLAZA  
SUITE 4900  
180 NORTH STETSON  
CHICAGO IL 60601-6780



NOT ASSIGNED

DATE MAILED: 2771

01/11/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☐ non-small entity is \$ 130.00

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.  
\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.  
\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unsigned.
- ☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

LEYDIG, VOIT & MAYER  
RECEIVED

JAN 19 2000

PAT/TM Due Date 3-11-00

Cust mer Service Center  
Initial Patent Examination Division (703) 308-1202